# Water Pollution and Decoupling Status in the Yangtze River Economic Belt

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Abstract: Monitoring and reducing Water pollution is critical for the sustainable and green development of socio-economy. This paper combined the concept of Grey Water Footprint and Decoupling theory to evaluate the water pollution status in Yangtze River and the related Economic Belt from 2003 to 2018. By understanding the pollution status, a clearer insight into whether Yangtze River achieved decoupling and where to further improve water quality could be found. According to the result, although some regions, such as Yunnan and Jiangxi, did not display a descending pattern during the period, Yangtze River Economic Belt as a whole showcased a steady decline in total GWF since 2010. In regarding to the economic development, every region within Yangtze River Economic Belt significantly increased their GDP throughout the period. Therefore, according to Tapio's decoupling model, it was always decoupling status for Yangtze River Economy from 2003 to 2018, and it has been strong decoupling status for 7 years. This paper provides some information and references for the formulation of water resources management policies, and finally promotes the green development of the areas in the Yangtze River Economic Belt.

*Keywords:* water pollution, Yangtze River Economic Belt, grey water footprint, Tapio's decoupling model

#### 1. Introduction

Water resources, as the most basic and important resources of human development, are a critical factor in regional socio-economic development [1]. According to Water Organization, water environment quality is positively related to economic development, high quality water environment even has the potential to increase economic returns [2]. Hu and Cheng [1] also state that water environment underpins rapid economic development. In other words, water quality is indispensable for a healthy and growing economic development. Yangtze River Economic Belt(YREB) is a typical example of water resources and economic growth. However, due to the pursuit of rapid economic development in past several years, the shortage of water resources and water pollution in the Yangtze River were severe.

What happened to Yangtze River perfectly match the preceding part of environmental Kuzenets curve (EKC): the growth of economy will deteriorate the environment [3]. The later part of the EKC, which states improvements to environmental quality will take place when economy matures, is yet to achieve. OECD has invented a word for the later part of the EKC- decoupling [4]. As defined by

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OECD to break the relationship between increase in economics and increase in pollution, decoupling now serves as an ultimate goal for most environmental improvement and protection policies [4].

In order to quantify and measure the water pollution in Yangtze River, grey water footprint (GWF) was applied in this article. By combining and utilizing both GWF and decoupling theory, this paper analyzes the status of Yangtze River water quality and determine if Yangtze River has achieved the goal of decoupling. This paper has the potential to provide information and reference for the formulation of water resources management policies, and finally promotes the sustainable and green development of the areas in the YREB.

## 2. Methodology

## 2.1. Study Area

YREB is one of the largest economic belt in China as it spans to the west, the east, and the middle part of China. Chinese government has also assigned it as one of three critical strategies. The area is about 2 million km². Provinces and municipalities within the area includes Shanghai, Jiangsu, Zhejiang, etc. As China's current industry and manufacturing base, and the corner stone for future development, the water quality issue in Yangtze River is urgent and pivotal. YREB supports approximately 40% of all population and produces over 40% of GDP of China. Yangtze River is one of the key factors that enable China economy to grow rapidly [5].

Unfortunately, the economy growth in YREB causes comes with cost. Firstly, although the total amount of water resources in the Yangtze River is abundant, the per capita occupancy is low [6]. On the other hand, a relatively low rate of utilization of water resources and serious waste of water resources are urgent and problematic. Moreover, due to inadequate supervision, a considerable amount of unprocessed industrial wastewater and urban sewage are directly discharged into the Yangtze River, which has far exceeded the self-purification capacity of Yangtze River [7]. With the continuous rapid economic growth and the accelerated pace of industrialization and urbanization, the water demand in YREB is estimated to increase. Therefore, it is important to manage the water environment for Yangtze River.

## 2.2. Data Source

In this study, GDP is chosen as an index for economic growth. Grey water footprint, or GWF, is chosen for the quantification of water pollution. GDP data for all regions is gained from CEIC databank, which is initially published by Chinese government. Given that there is no official data about GWF in China, the GWF data for all cities and provinces are sourced from He et al.'s report [8].

#### 2.3. Model

## 2.3.1. Grey Water Footprint

Grey water footprint is firstly introduced in 2010. According to by Hoekstra and Arjen, the definition of the Grey water footprint is the amount of clean and fresh water that is needed to assimilate the load of pollutants based on natural background concentration and existing ambient water quality standard [9]. According to Hoekstra and Chapagain [9], grey water footprint includes agriculture GWF, industry GWF, and life GWF. The GWF used for research in this paper is the sum of these three types of GWF, GWFtotal, and it is calculated as:

$$GWFtotal = GWFagr + GWFind + GWFdom$$
 (1)

## 2.3.2. Tapio Decoupling Model

Decoupling theory is applied to evaluate the interdependence relationship between the growth in economy and pollution in water resources. The main concept of decoupling is to break the relationship and to establish an growing economy without polluting the environment. According to Tapio's model [10], decoupling could be divided into 2 types, strong and weak decoupling. Strong decoupling means that economy is growing while the pollution and resource consumption is reducing. Weak decoupling, on the other hand, refers that pollution and resource consumption increase with economy but at a lower rate. In this paper, Tapio's model [10] is being applied to calculate the decoupling index, or decoupling elasticity, and decoupling status for the Yangtze Economy Belt as well as the cities and provinces inside of it. GWF and GDP is used to calculate Tapios' decoupling elasticity. The decoupling theory is basically the ratio of percentage changes in pollution and percentage changes in GDP. In this paper, the formula is:

$$e = \frac{\%\Delta \text{ GWF}}{\%\Delta \text{ GDP}} = \frac{(\text{GWFn-GWFn-1})/\text{GWFn}}{(\text{GDPn-GDPn-1})/\text{GDPn}}$$
(2)

Decoupling elasticity showcases if decoupling is achieved and to what extent. According to Tapio's model, there are 8 types of decoupling Status [10], as shown in Table 1.

	<b>Decoupling Status</b>	ΔGDP	ΔGWF	Elasticity
Decoupling	Strong	>0	<0	≤0
	Weak	>0	>0	0 <e<0.8< td=""></e<0.8<>
	Recessive	<0	<0	≥1.2
Negative	Strong negative	<0	>0	≤0
decoupling	Weak negative	<0	<0	0 <e<0.8< td=""></e<0.8<>
	Negative decoupling of growth	>0	>0	≥1.2
Connectivity	Growth connectivity	>0	>0	0.8 <e<1.2< td=""></e<1.2<>
	Declining connection	<0	<0	0.8 <e<1.2< td=""></e<1.2<>

Table 1: Eight types of decoupling status [10].

## 3. Data Analysis

# 3.1. Analysis of the GWF and GDP of 11 Cities and Provinces

As Table 2 shows, GWF is significantly different in each cities and provinces. Besides Yunnan and Jiangxi, all other provinces and cities have a lower of GWF in 2018 compared to the value they had in 2003.

Table 2: The GWF of cities and provinces in the YREB from 2003 to 2018 [8].

The GWF of 11 Cities and provinces in the Yangtze River Economic Belt from										Units in		
2003 to 2018												billion m <sup>3</sup>
Yea	Sh	Jian	Zhej	Anh	Jian	Hub	Hun	Cho	Sich	Guiz	Yunna	Total
r	an	gsu	iang	ui	gxi	ei	an	ngqi	uan	hou	n	GWF
	gh							ng				
	ai											
2003	6.5	24.9	13.8	20.2	14.6	23.8	29.1	9.7	38.2	14.8	18.5	214.2
2004	5.4	26.3	13.8	19.7	15.4	23.9	30.5	10.0	38.0	15.1	19.3	217.5
2005	5.8	28.2	14.5	19.7	15.8	24.3	31.7	10.1	37.8	15.8	19.7	223.2
2006	5.7	27.6	14.4	18.6	15.9	23.8	31.9	10.0	38.3	16.1	19.8	222.0
2007	5.6	25.9	13.5	16.4	14.3	22.7	28.5	8.9	35.0	12.7	18.9	202.3
2008	5.1	25.3	13.2	16.2	14.2	23.1	28.5	9.0	35.0	12.9	19.0	201.5
2009	4.6	24.9	12.8	16.3	14.4	23.5	28.6	9.3	35.5	13.0	19.4	202.3
2010	4.2	24.4	12.4	16.2	14.6	23.6	27.9	9.3	35.3	12.9	19.7	200.4
2011	4.1	25.0	14.2	18.5	15.9	24.3	26.2	10.2	35.1	13.5	23.3	210.2
2012	3.9	24.3	13.7	18.4	16.0	24.5	26.1	10.1	34.7	13.3	23.5	208.7
2013	3.8	23.5	13.3	18.4	16.0	24.3	26.2	10.0	34.4	13.3	23.6	206.8
2014	3.6	22.9	12.6	18.2	16.1	24.0	26.3	10.1	34.8	13.7	23.9	206.1
2015	3.4	22.2	11.8	18.0	16.2	23.3	25.9	10.1	34.6	14.1	23.7	203.1
2016	3.1	21.8	10.9	17.4	16.0	21.7	24.2	9.8	33.8	13.7	23.8	196.1
2017	3.0	22.0	10.6	16.3	15.3	20.1	23.3	9.0	31.8	13.6	24.5	189.3
2018	1.7	20.6	10.1	15.2	15.2	19.5	22.6	8.9	31.2	13.0	24.3	182.3

Among all the regions, Shanghai always has the least amount of GWF, and it has the largest percentage reduction in GWF, which is about 74%. Sichuan's GWF is always the highest, but a steady reduction in GWF was experienced by Sichuan from 2003 to 2018 as the number dropped from 38.2 to 31.2 with limited number of rebounds.

Table 3: The GDP of 11 cities and provinces in the Yangtze River Economic Belt from 2003 to 2018.

The GDP of 11 cities and provinces in the Yangtze River Economic Belt										Units	in	
				fron	n 2003	to 2018	3				billion	s RMB
Yea	Sha	Jian	Zhej	Anh	Jian	Hub	Hun	Chong	Sich	Guiz	Yun	Total
r	ngh	gsu	iang	ui	gxi	ei	an	qing	uan	hou	nan	GDP
	ai											
2003	680.	1244	975.	430.	281.	475.	466.	261.56	534.	142.9	263.	5756.
	40	.29	34	78	27	75	00		62	0	34	25
2004	810.	1482	1148	512.	339.	554.	554.	305.95	630.	164.9	313.	6817.
	16	.31	.21	91	81	68	26		40	4	64	27
2005	914.	1827	1336	537.	405.	648.	647.	306.91	738.	194.2	347.	7903.
	40	.21	.50	58	62	45	36		51	0	23	97
2006	1029	2154	1564	614.	461.	749.	749.	348.62	863.	226.7	400.	9163.
	.70	.84	.89	19	88	72	32		78	4	19	86
2007	1200	2556	1863	734.	546.	915.	914.	411.18	1050	271.0	472.	1093
	.12	.01	.84	57	93	00	50		.53	3	18	5.87
2007	1200	2556	1863	734.	546.	915.	914.	411.18	1050	271.0	472.	1093
	.12	.01	.84	57	93	00	50		.53	3	18	5.87
2008	1369	3031	2148	887.	648.	1133	1115	509.67	1250	333.3	570.	1299
	.82	.26	.69	42	03	.04	.66		.63	4	01	7.56
2009	1490	3406	2283	1005	758.	1283	1293	652.87	1415	389.3	616.	1459
	.09	.12	.24	.29	92	.15	.07		.13	5	82	4.06
2010	1687	4090	2722	1226	943.	1580	1590	789.42	1689	459.4	722.	1750
	.24	.33	.68	.34	50	.61	.21		.86	0	01	1.60
2011	1919	4860	3200	1511	1158	1959	1963	1001.1	2102	570.1	875.	2112
	.57	.43	.01	.03	.38	.42	.52	1	.67	8	10	1.41
2012	2010	5405	3460	1721	1294	2225	2215	1145.9	2384	680.2	1030	2357
	.13	.82	.63	.21	.85	.02	.42	0	.98	2	.98	5.16
2013	2160	5916	3756	1903	1433	2466	2450	1265.6	2626	800.6	1172	2595
	.21	.18	.85	.89	.85	.85	.17	7	.08	8	.09	2.52

2014	2356	6508	4015	2084	1570	2736	2704	1426.5	2853	925.1	1281	2846
	.09	.83	.35	.88	.86	.70	.85	4	.67	0	.46	4.33
2015	2496	7011	4288	2200	1672	2955	2904	1571.9	3010	1050.	1371	3053
	.50	.64	.65	.56	.38	.02	.72	7	.31	26	.79	3.79
2016	2746	7608	4648	2411	1836	3229	3124	1755.8	3268	1173.	1487	3329
	.62	.62	.50	.79	.44	.79	.47	8	.05	44	.00	0.58
2017	3013	8590	5176	2751	2081	3652	3459	1950.0	3698	1354.	1653	3738
	.39	.09	.83	.87	.85	.30	.06	3	.02	08	.13	0.64
2018	3601	9320	5800	3401	2271	4202	3632	2158.8	4290	1535.	2088	4230
	.18	.76	.28	.09	.65	.20	.97	8	.21	32	.06	2.60

Table 3: (continued).

In sharp contrast with other regions, the GWF of Jiangxi and Yunnan did not drop in the last decade. Jiangxi showcased a slight increase in GWF from 2003 to 2015, as the number increase from 14.6 to 16. In 2016, this ascending trend was ended, and the number dropped from 16 to 15.2 in the next 2 years. In Yunnan, the pattern of changes in GWF is opposite to other regions. The GWF increased from 18.5 to 24.3 in the last 15 years, which is about a 31% increase from 2003 to 2018.

Unlike the GWF, the GDP for all regions showcased a similar pattern (Table 3). All cities and provinces experienced increases in GDP every single year. No regions had a GDP recession at any point from 2003 to 2018.

Among all the regions, Guizhou has the most percentage changes in GDP. From 2003 to 2018, Guizhous' GDP increased from 142 billion RMB to 1535.32 billion RMB, which is a tenfold increase.

## 3.2. Analysis of the Decoupling status of YREB

As discussed in the previous section, the Economy of YREB displays a rising pattern while the GWF showcases a descending pattern. Although the economy grows with an increase in GWF in Year 2004, 2005, 2009, and 2011 (see Figure 1). Overall, the GDP and GWF moves in opposite directions. GDP has increased eightfold from 2003 to 2018 and GWF reduced by 16%. The change in GDP is tremendous in comparison to the change in GWF.

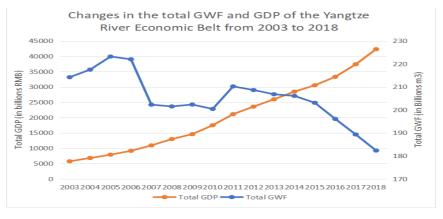


Figure 1: Changes in the total GWF and GDP of the Yangtze River Economic Belt from 2003 to 2018.

By aggregating all the GWF and GDP for all regions, changes in GDP, changes in GWF, and decoupling elasticity could be calculated. Also, by comparing the direction of changes in GDP and GWF as well as the elasticity to the model constructed by Tapio (2021), the decoupling status could be determined.

As shown in the Table 4, given that changes in GDP is always positive and changes in GWF is nearly all negative, the calculated elasticity each year is either relatively small value or negative. In other words, decoupling has been achieved for Yangtze River from 2003 to 2018. Between 2003 to 2011, strong decoupling and weak decoupling took place alternately. Also, after 2011, the changes in GWF and Elasticity is always negative, which means that the pollutions in Yangtze River keeps reducing since 2011. Therefore, it is always strong decoupling after 2011 as the economy keeps increasing and GWF shows a declining pattern.

Table 4: The decoupling elasticity and status of Yangtze River Economic Belt from 2003 to 2018.

Years	%Δ in GDP	%Δ in GWF	Elasticity	Decoupling status
2003~2004	0.155637	0.015171	0.097477	weak
2004~2005	0.137488	0.025448	0.185092	weak
2005~2006	0.137484	-0.00531	-0.03866	Strong
2006~2007	0.162037	-0.09759	-0.60225	Strong
2007~2008	0.158621	-0.00382	-0.02409	Strong
2008~2009	0.109394	0.004102	0.037498	weak
2009~2010	0.16613	-0.00958	-0.05766	Strong
2010~2011	0.171381	0.046618	0.272013	weak
2011~2012	0.104082	-0.00752	-0.07229	Strong
2012~2013	0.091604	-0.0089	-0.09712	Strong
2013~2014	0.088244	-0.00344	-0.03904	Strong
2014~2015	0.067776	-0.01477	-0.21794	Strong
2015~2016	0.08281	-0.03575	-0.4317	Strong
2016~2017	0.109417	-0.0357	-0.32632	Strong
2017~2018	0.116351	-0.03833	-0.32948	Strong

## 4. Conclusion

In order to conduct a comprehensive investigation on the relationship between water pollution and economic growth in YREB, a combination of GWF concept and decoupling theory is introduced in this paper. Firstly, the GDP and GWF value and pattern for all cities were gathered. Secondly, by aggregating GDP and GWF for all regions, the GDP and GWF as well as the decoupling elasticity was calculated for the entire YREB. Lastly, the decoupling status was found based on the Tapio's decoupling model [10] and the directions of the changes in GDP and GWF. Main conclusions are:

- (1) Besides Jiangxi and Yunnan, all other cities and provinces showcased a descending pattern on the GWF. The GWF of Jiangxi in 2018 is similar to the number in 2003 (15.2 in 2018 and 14.6 in 2003), and Yunnan's GWF increased from 18.5 to 24.3 from 2003 to 2018.
  - (2) All regions within YREB experience an increase in GDP every year.
  - (3) Although some regions GWF did not reduce, overall YREB achieved decoupling.
- (4) Before 2011, Strong decoupling and weak decoupling were experienced by Yangtze River Economy Belt alternately.
- (5) After 2011, the total GWF in Yangtze River Economy Belt kept decreasing each year, and strong decoupling was always the case.

In addition, although the total pollution in Yangtze River has been dropping for 7 years, contribution to this reduction varied significant by the regions. Regions such as Shanghai, Hunan, and Sichuan showcased great decreases in GWF while some regions, such as Jiangxi and Yunnan, did not display such descending trend. In order to further improve the water quality in Yangtze River, it is critical for regions with limited or no reduction in GWF to decrease their GWF. New policies, stricter requirement, and better surveillance on grey water emission and processing are all potentially helpful.

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